

VP Bank (BVI) Ltd

VP Bank House · 156 Main Street · PO Box 2341 · Road Town · Tortola VG1110 · British Virgin Islands
T +1 284 494 11 00 · F +1 284 494 11 44 · info.bvi@vpbank.com · www.vpbank.com



AEOI Self-Certification of an Entity

"Bank" refers hereafter to VP Bank (BVI) Ltd.

.....
Account Holder (company name of the entity)

.....
Client number

On the basis of the legal provisions in connection with the Automatic Exchange of Information (AEOI), the Bank is obliged to obtain the following information from the entity.

The entity is obliged to share information concerning its status, country (or countries) of residence for tax purposes and, if required, the corresponding Tax Identification Number/s. The Bank recommends that the entity refers to a legal or tax adviser or the responsible tax authority with questions on the above-mentioned information.

1. Identification of the entity (All mandatory fields are marked with an *)

.....
Account Holder (company name of the entity/branch)*

.....
Country of incorporation/establishment

.....
Address*¹

.....
Postcode*

.....
City*

.....
P.O. Box¹

.....
Country of domicile*

If the address provided above is different from the address currently recorded by the Bank as domicile address, the submission of this form will simultaneously be deemed to be a notification of a change of address. Any existing instructions to send mail to the domicile address will be deemed changed accordingly on submission of this form. However, any existing instructions to send mail to an address different to the domicile address will remain unaffected.

¹ In principle, "P.O. box", "c/o" or "FAO" addresses may not be provided, unless they are registered in the Commercial Register as the address for correspondence.

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2. Entity status

Please indicate the status of the entity by choosing one of the following criteria (only one box may be ticked):

2.1 Financial Institution

- Reporting Financial Institution under CRS
- Non-reporting Financial Institution under CRS; Specify the type of Non-Reporting Financial Institution below:
 - Governmental Entity
 - International Organization
 - Central bank
 - Broad Participation Retirement Fund
 - Narrow Participation Retirement Fund
 - Pension Fund of a Governmental Entity, International Organization, or Central Bank
 - Exempt Collective Investment Vehicle
 - Trust whose trustee reports all required information with respect to all CRS Reportable Accounts (Trustee-Documented Trust, [TDT])
 - Qualified Credit Card Issuer
 - Other Entity defined under the domestic law as low risk of being used to evade tax.
Specify the type provided in the domestic law:
.....
- Investment Entity resident in a NON-Participating Jurisdiction managed by another Financial Institution
The Bank is obliged to reclassify an entity with such CRS-Status as Passive NFE until the jurisdiction of domicile becomes a Participating Jurisdiction. Due to that please fill in the data of each and every Controlling Person of the Passive NFE in Annex 1 to this form.
- Other Financial Institution, including a Depository Institution, Custodial Institution or Specified Insurance Company

2.2 Active NFE

2.2.1 Active NFE - non-reportable

- Corporation that is regularly traded or a related entity of a regularly traded corporation
Provide the name of the stock exchange where traded:
.....

If you are a related entity of a regularly traded corporation, provide the name of the regularly traded corporation:
.....

- Entity such as a governmental entity, an international organisation, a central bank or an entity that is wholly owned by one or more of the foregoing institutions

2.2.2 Active NFE - reportable

- Active company operating outside the financial services industry, for example a trading company or a company in the production industry, a Holding NFE, a Treasury Center
- Exclusively non-profit entity exempt from income tax
- Start-up NFE
- NFE in liquidation

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2.3 Passive NFE

Passive NFE (not a Financial Institution and not an Active NFE)

Please fill in the data of each and every Controlling Person of the Passive NFE in Annex 1 to this form.

3. Declaration of tax-relevant information

Please provide all countries of residence for tax purposes along with the associated Tax Identification Numbers (TIN) of the entity in the table below. Should no information be provided, the entity expressly confirms that the country of domicile stated under section 1 is the only tax residency.

Country of residence for tax purposes (please list all countries)	TIN of the Account Holder	Reason why TIN is not available

4. Declaration

The entity acknowledges that it will be obliged to submit a new Self-Certification form to the Bank within 90 days or by the end of the calendar year, whichever date is later, if any of the information provided in this form changes.

The entity acknowledges that, where the relevant international and national statutory provisions are satisfied, the Bank may be obliged to report information about the entity and its banking relationship/s with the Bank, and about its controlling persons, to the BVI Tax Administration Authority to be passed on to the relevant tax authorities of the country, or countries, of residence for tax purposes.

The entity confirms that the information provided on this form is, to the best of its knowledge, complete and correct.

The entity acknowledges that the deliberate or negligent provision of false information in this Self-Certification form, failure to provide information about a change in circumstances and the provision of false information in connection with a change in circumstances are punishable offences under the BVI Criminal Code.

By signing this form, the signatory confirms that he/she is authorised to represent the entity.

.....
Datum

.....
Signature/s of the entity's authorised signatory (signatories)

.....
Name/s of the entity's authorised signatory (signatories) in block letters

.....
Relationship Manager

.....
Signature/s of the entity's authorised signatory (signatories)

.....
Name/s of the entity's authorised signatory (signatories) in block letters

.....
Initials of the relationship manager

List of Controlling Persons (CP) & its roles

Annex 1

Passive NFE ONLY - Corporation or Company without Personality

No.	Name	Address	Nationality/-ies	Date of Birth	Country/-ies of tax residency	Tax Identification Number (TIN) ²	Role of the CP ³
1							
2							
3							
4							

Reason why no TIN is specified for the Controlling Person

No.	Country of residence does not issue TINs	Other reasons (please specify)
1	<input type="checkbox"/>	
2	<input type="checkbox"/>	
3	<input type="checkbox"/>	
4	<input type="checkbox"/>	
5	<input type="checkbox"/>	

Table of Roles of a Controlling Person of a Passive NFE:

No.	Role of a Controlling Person of a Passive NFE - In case of a corporation or Company without Personality - Legal Person
1	A natural person who ultimately owns or controls, either directly or indirectly, shares, voting rights or ownership interests of 10% or more in this entity or who is entitled to 10% or more of the entity's profits
2	A natural person who ultimately owns or controls an entity through some other means
3	A natural person who is a member of the executive body if - after having exhausted all possible means and provided that there are no reasons for suspicion - none of the abovementioned persons has been determined

² A reason must be provided in the box below if there is no TIN specified.

³ Please insert the respective number of the applicable Role of each Controlling Person from the table below.

List of Controlling Persons (CP) & its roles

Annex 1

Passive NFE ONLY - Foundation, Trust and a similar structure or an Underlying Entity of such

No.	Name	Address	Nationality/-ies	Date of Birth	Country/-ies of tax residency	Tax Identification Number (TIN) ⁴	Role of the CP ⁵
1							
2							
3							
4							

Reason why no TIN is specified for the Controlling Person

No.	Country of residence does not issue TINs	Other reasons (please specify)
1	<input type="checkbox"/>	
2	<input type="checkbox"/>	
3	<input type="checkbox"/>	
4	<input type="checkbox"/>	

⁴ A reason must be provided in the box below if there is no TIN specified.

⁵ Please insert the respective number of the applicable Role of each Controlling Person from the table below.

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Table of Roles of a Controlling Person of a Passive NFE:

No.	Role of a Controlling Person of a Passive NFE - In case of a corporation or Company without Personality - Legal Person
4	A natural person who is the effective, not the fiduciary founder, settlor or trustor
5	A natural person or legal entity that is a member of the foundation board or board of directors or a trustee
6	A natural person who is a protector or person in a similar or equivalent function
7	A natural person who is a beneficiary
8	A natural person who exercises ultimate control over the entity by means of direct or indirect ownership or by other means

Discretionary type of entity that was set up or is operated in the main interest of the following class of persons:⁶

Description of the class of persons:

⁶ Please provide form D for each case of a distribution.